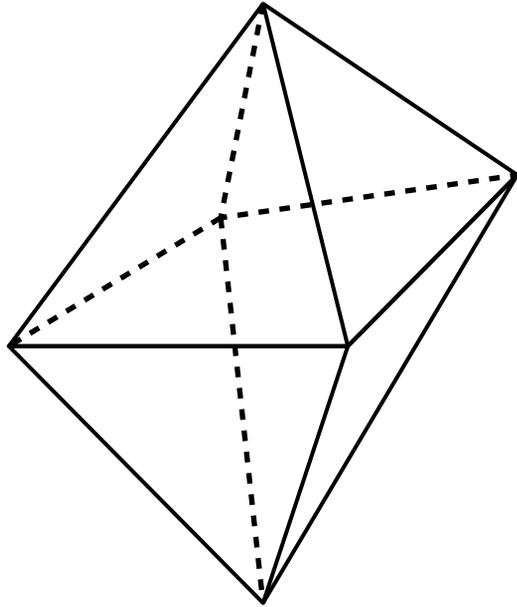


# Convex Polytope (Chapter 5.1 and 5.2)



A convex polytope is a convex hull of finite points in  $\mathbb{R}^d$

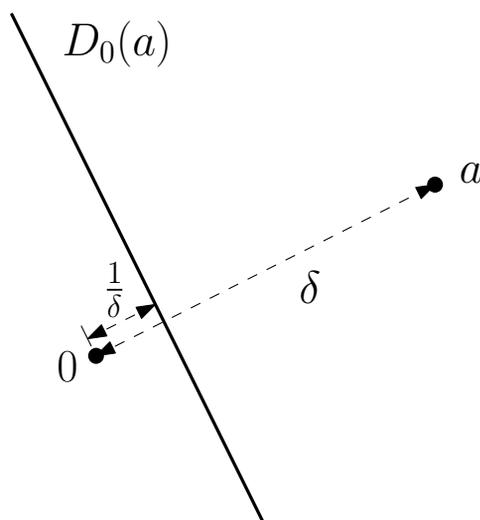
- bounded convex polyhedron

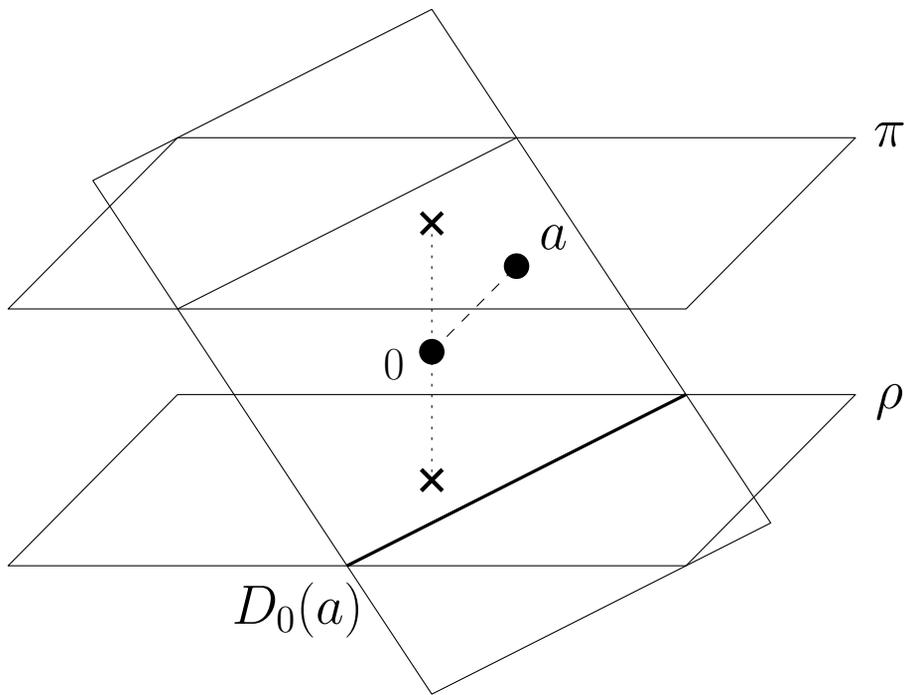
## Central Geometric Duality $D_0$

For a point  $a \in \mathbb{R}^d \setminus \{0\}$ , it assigns the hyperplane

$$D_0(a) = \{x \in \mathbb{R}^d \mid \langle a, x \rangle = 1\},$$

and for a hyperplane  $h$  not passing through the origin, where  $h = \{x \in \mathbb{R}^d \mid \langle a, x \rangle = 1\}$ , it assigns the points  $D_0(h) = a \in \mathbb{R}^d \setminus \{0\}$ .



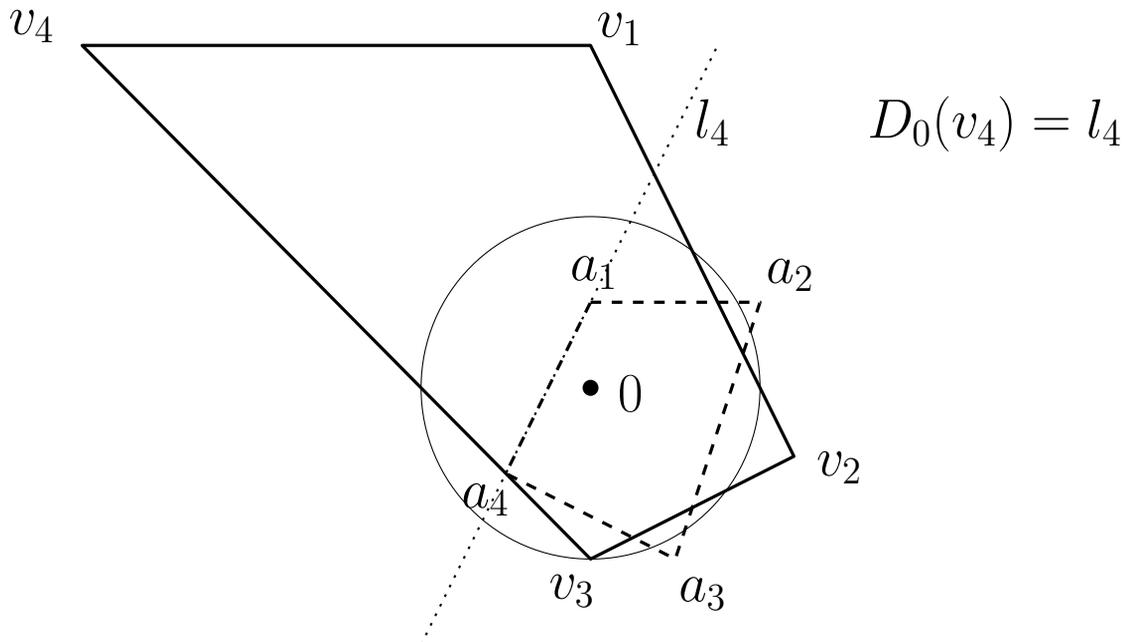


An interpretation of duality through  $\mathbb{R}^{d+1}$

- “Primal”  $\mathbb{R}^d$ : the hyperplane  $\pi = \{x \in \mathbb{R}^{d+1} \mid x_{d+1} = 1\}$
- “dual”  $\mathbb{R}^d$ : the hyperplane  $\rho = \{x \in \mathbb{R}^{d+1} \mid x_{d+1} = -1\}$
- A point  $a \in \pi$ 
  - construct the hyperplane in  $\mathbb{R}^{d+1}$  perpendicular to  $0a$  and containing  $0$
  - intersect the hyperplane with  $\rho$

$k$ -flat is a hyperplane in  $(k + 1)$  dimensions.

- 0-flat is a point, 1-flat is a line, 2-flat is a plane, and so on.
- The dual of a  $k$ -flat is a  $(d - k - 1)$ -flat.



## Half-space

For a hyperplane  $h$  not containing the origin, let  $h^-$  stand for the closed half-space bounded by  $h$  and containing the origin, while  $h^+$  denotes the other closed half-space bounded by  $h$ . That is, if  $h = \{x \in \mathbb{R}^d \mid \langle a, x \rangle = 1\}$ , then  $h^- = \{x \in \mathbb{R}^d \mid \langle a, x \rangle \leq 1\}$  and  $h^+ = \{x \in \mathbb{R}^d \mid \langle a, x \rangle \geq 1\}$ .

## Duality preserves incidences

For a point  $p \in \mathbb{R}^d \setminus 0$  and a hyperplane  $h$  not containing the origin,

- $p \in h$  if and only if  $D_0(h) \in D_0(p)$ .
- $p \in h^-$  if and only if  $D_0(h) \in D_0(p)^-$ .
- $p \in h^+$  if and only if  $D_0(h) \in D_0(p)^+$ .

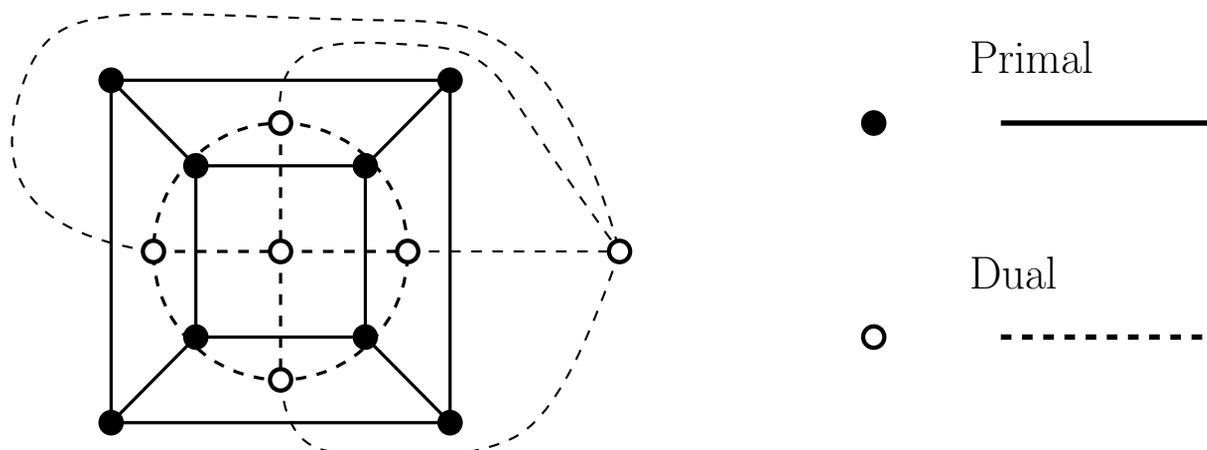
## Dual set (Polar set)

For a set  $X \subseteq \mathbb{R}^d$ , the set dual to  $X$ , denoted by  $X^*$ , is defined as follows:

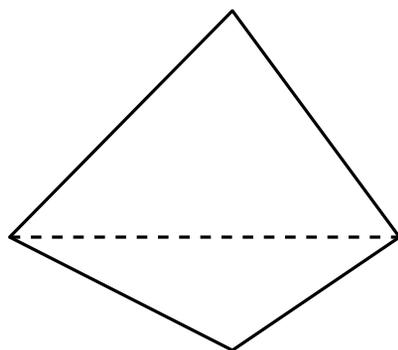
$$X^* = \{y \in \mathbb{R}^d \mid \langle x, y \rangle \leq 1 \text{ for all } x \in X\}.$$

## Illustration for the dual set $X^*$

- Geometrically,  $X^*$  is the intersection of all half-spaces of the form  $D_0(x)^-$  with  $x \in X$ .
- In other words,  $X^*$  consists of the origin plus all points  $y$  such that  $X \subseteq D_0(y)^-$ .
- For example, if  $X$  is the quadrilateral  $a_1a_2a_3a_4$  shown above, the  $X^*$  is the quadrilateral  $v_1v_2v_3v_4$ .
- $X^*$  is convex and closed and contains the origin.
- $(X^*)^*$  is the convex hull of  $X \cup \{0\}$



# Famous convex polytopes in $\mathbb{R}^3$

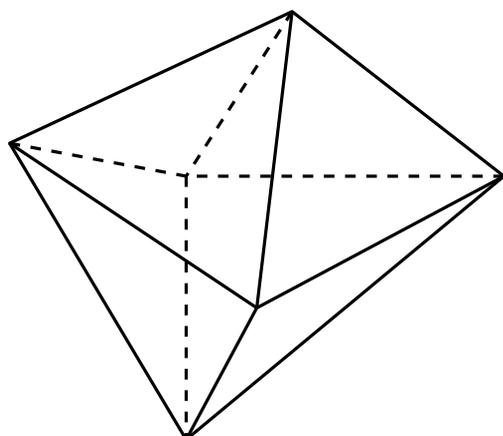


## **Tetrahedron**

four triangles

6 edges

4 vertices

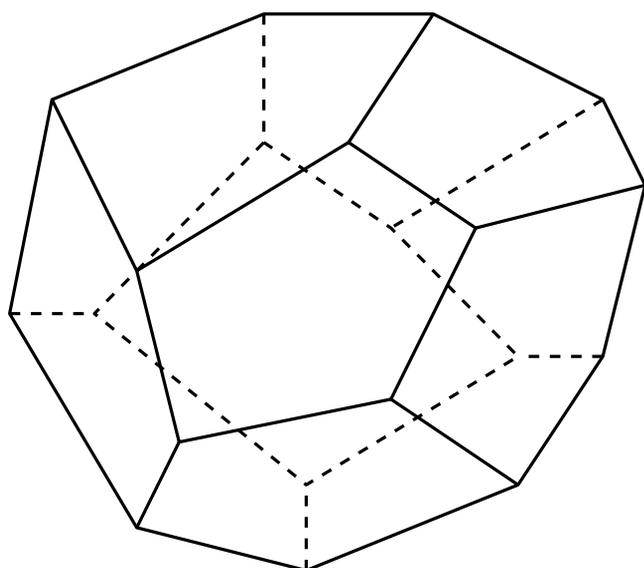


## **Octahedron**

8 triangles

12 edges

6 vertices



## **Dodecahedron**

12 pentagon

30 edges

20 vertices

# Two Types of Convex Polytopes

## ***H*-polyhedron/polytope**

An *H*-polyhedron is an intersection of finitely many closed half-spaces in  $\mathbb{R}^d$ .  
An *H*-polytope is a bounded *H*-polyhedron.

## ***V*-polytope**

An *V*-polytope is the convex hull of a finite point set in  $\mathbb{R}^d$

## **Theorem**

Each *V*-polytope is an *H*-polytope, and each *H*-polytope is a *V*-polytope.

## **Mathematically Equivalence, Computational Difference**

- Whether a convex polytope is given as a convex hull of a finite point set or as an intersection of half-spaces
- Given a set of  $n$  points specifying a *V*-polytope, how to find its representations as an *H*-polytope?
- The number of required half-spaces may be astronomically larger than the number  $n$  of points

## **Another Illustration**

- Consider the maximization of a given linear function over a given polytope.
- For *V*-polytopes, it suffices to substitute all points of  $V$  into the given linear function and select the maximum of the resulting values
- For *H*-polytopes, it is equivalent to solving the problem of linear programming.

## **Dimension** of a convex polyhedron $P$

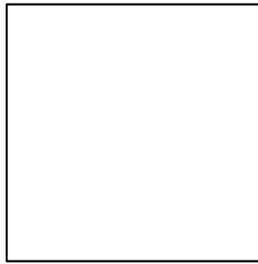
- Dimension of its affine hull
- Smallest dimension of an Euclidean space containing a congruent copy of  $P$

## Cubes

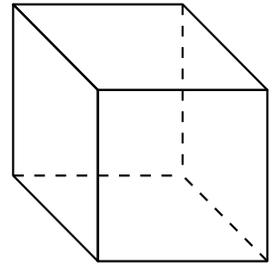
- The  $d$ -dimensional cube as a point set of the Cartesian Product  $[-1, 1]^d$
- As a  $V$ -polytope, the  $d$ -dimensional cube is the convex hull of the set  $\{-1, 1\}^d$  ( $2^d$  points).
- As a  $H$ -polytope, it is described by the inequalities  $-1 \leq x_i \leq 1$ ,  $i = 1, 2, \dots, d$ , i.e., by the intersection of  $2d$  half-spaces
- $2^d$  points vs.  $2d$  half-spaces
- The unit-ball of the maximum norm  $\|x\|_\infty = \max_i |x_i|$



$d = 1$



$d = 2$



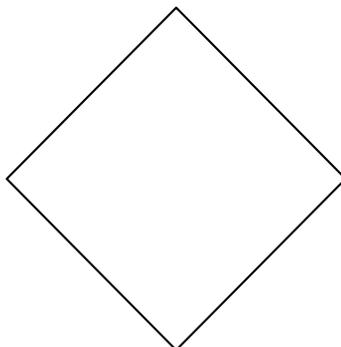
$d = 3$

## Crosspolytope

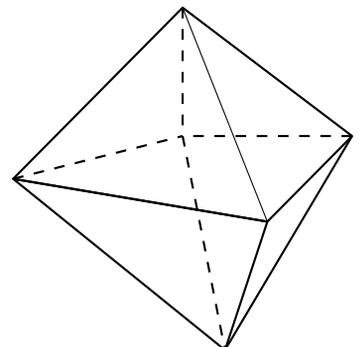
- $V$ -polytope: Convex hull of the “coordinates cross,” i.e., the convex hull of  $e_1, -e_1, e_2, -e_2, \dots, e_d, -e_d$ , where  $e_1, \dots, e_d$  are vectors of the standard orthonormal basis. For  $d = 2$ ,  $e_1 = (1, 0)$  and  $e_2 = (0, 1)$ .
- $H$ -polytope: Intersection of  $2^d$  half-spaces of the form  $\langle \sigma, \leq \rangle 1$ , where  $\sigma$  ranges over all vectors in  $\{-1, 1\}^d$ .
- $2d$  points vs.  $2^d$  half-spaces
- Unit ball of  $l_1$ -norm  $\|x\|_1 = \sum_{i=1}^d |x_i|$ .



$d = 1$



$d = 2$

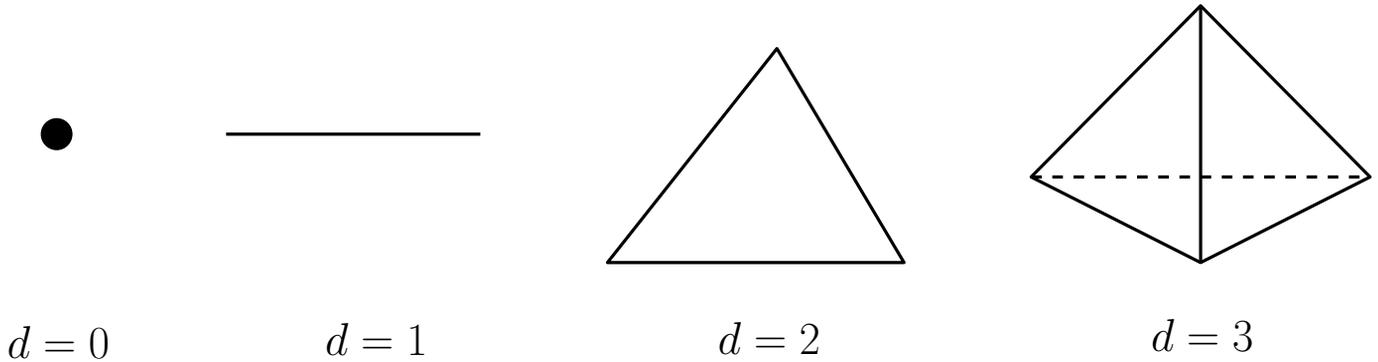


$d = 3$

# Simplex

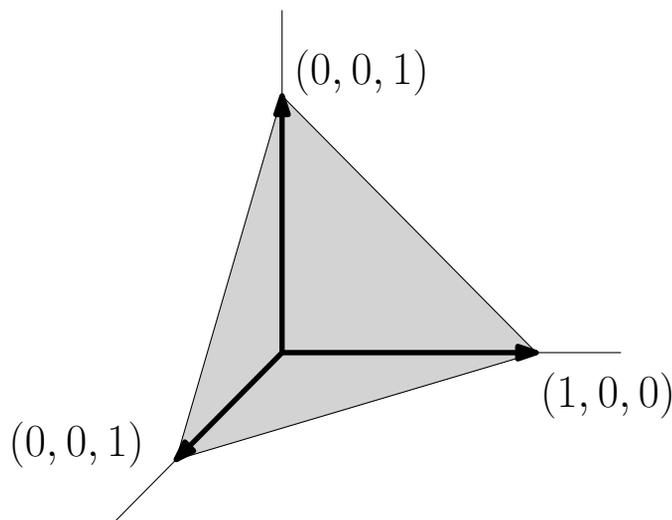
A *simplex* is the convex hull of an affinely independent point set in some  $\mathbb{R}^d$

- A  $d$ -dimensional simplex in  $\mathbb{R}^d$  can also be an intersection of  $d+1$  half-spaces.
- The polytopes with smallest possible number of vertices (for a given dimension) are simplices.



A *regular*  $d$ -dimensional simplex in  $\mathbb{R}^d$  is the convex hull of  $d + 1$  points with all pairs of points having equal distances.

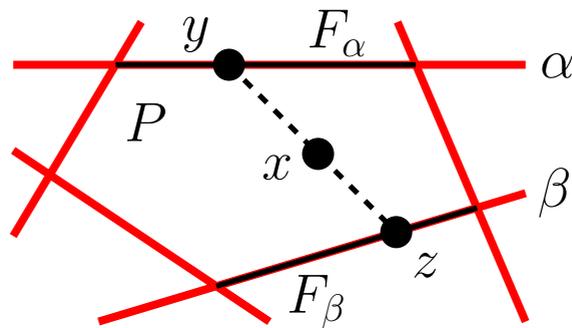
- Do not have a very nice representation in  $\mathbb{R}^d$
- Simplest representation lives one dimension higher
- The convex hull of the  $d+1$  vectors  $e_1, \dots, e_{d+1}$  of the standard orthonormal basis in  $\mathbb{R}^{d+1}$  is a  $d$ -dimensional regular simplex with side length  $\sqrt{2}$ .



# Proof of equivalence of $H$ -polytope and $V$ -polytope

$\Rightarrow$  (Let  $P$  be an  $H$ -polytope)

- Assume  $d \geq 2$  and let  $\Gamma$  be a finite collection of closed half-spaces in  $\mathbb{R}^d$  such that  $P = \bigcap \Gamma$  is nonempty and bounded (By the induction,  $(d - 1)$  is correct)
- For each  $\gamma \in \Gamma$ , let  $F_\gamma = P \cap \partial\gamma$  be the intersection of  $P$  with bounding hyperplane of  $\gamma$ .
- Each nonempty  $F_\gamma$  is an  $H$ -polytope of dimension of at most  $(d - 1)$ , and it is the convex hull of a finite set  $V_\gamma \subset F_\gamma$  (by the inductive hypothesis)
- Claim  $P = \text{conv}(V)$ , where  $V = \bigcup_{\gamma \in \Gamma} V_\gamma$ 
  - Let  $x \in P$  and let  $l$  be a line passing through  $x$ .
  - The intersection  $l \cap P$  is a segment, so let  $y$  and  $z$  be its endpoints
  - There are  $\alpha, \beta \in \Gamma$  such that  $y \in F_\alpha$  and  $z \in F_\beta$
  - We have  $y \in \text{conv}(V_\alpha)$  and  $z \in \text{conv}(V_\beta)$ .
  - $x \in \text{conv}(V_\alpha \cup V_\beta) \subseteq \text{conv}(V)$



$\Leftarrow$  (Let  $P$  be a  $V$ -polytope)

- Let  $P = \text{conv}(V)$  with  $V$  finite and assume  $0$  is an interior point of  $P$
- Consider the dual body  $P^* = \bigcap_{v \in V} D_0(v)^-$
- Since  $P^*$  is an  $H$ -polytope,  $P^*$  is a  $V$ -polytope (what we just prove)
  - $P^*$  is the convex hull of a finite point set  $U$
- Since  $P = (P^*)^*$ ,  $P$  is the intersection of finitely many half-spaces
  - $P = \bigcap_{u \in U} D_0(u)^-$