Theoretical Aspects of Intruder Search Course Wintersemester 2015/16 Cop and Robber Game Cont./Randomizations

Elmar Langetepe

<span id="page-0-0"></span>University of Bonn

November 24th, 2015

### Number of cops required, positive result

**Theorem 40:** For any planar graph G we have  $c(G) \leq 3$ .

Proof:

Two cops protect some paths, the third cop can proceed!



<span id="page-1-0"></span> $OQ$ 

# Number of cops required, positive result

Lemma 39: Consider a graph G and a shortest path  $P = s, v_1, v_2, \ldots, v_n, t$  between two vertices s and t in G, assume that we have two cops. After a finite number of moves the path is protected by the cops so that after a visit of the robber  $R$  of a vertex of  $P$  the robber will be catched.

- Move cop c onto some vertex  $c = v_i$  of P
- Assuming, r closer to some x in s,  $v_1, \ldots, v_{i-1}$  and some y in  $v_{i+1}, \ldots, v_n, t$ . Contradiction shortest path from x and y

$$
\bullet \, d(x,c)+d(y,c) \leq d(x,r)+d(r,y)
$$

- Move toward x, finally:  $d(r, v) \geq d(c, v)$  for all  $v \in P$
- Now robot moves, but we can repair all the time
- r goes to some vertex  $r'$  and we have  $d(r', v) \ge d(r, v) - 1 \ge d(c, v) - 1$  for all  $v \in P$ .
- S[o](#page-27-0)me  $v' \in P$  $v' \in P$  $v' \in P$  with  $d(c, v') 1 = d(r', v')$  [ex](#page-3-0)i[sts](#page-2-0), [m](#page-0-0)[ov](#page-27-0)[e t](#page-0-0)o v'

<span id="page-2-0"></span> $\Omega$ 

**Theorem 40:** For any planar graph G we have  $c(G) < 3$ .

Proof:

- Case 1: All three cops occupy a single vertex  $c$  and the robber is located in one component  $R_i$  of  $G \setminus \{c\}$
- <span id="page-3-0"></span>Case 2: There are two different paths  $P_1$  and  $P_2$  from  $v_1$  to  $v_2$  that are protected in the sense of Lemma 39 by cops  $c_1$  and  $c_2$ . In this case  $P_1 \cup P_2$  subdivided G into an interior,  $I$ , and an exterior region  $E$ . That is  $G \setminus (P_1 \cup P_2)$  has at least two components. W.l.o.g. we assume that  $R$  is located in the exterior  $E=R_i.$

#### Number of cops required, positive result

**Theorem 40:** For any planar graph G we have  $c(G) \leq 3$ .

Case 1 and Case 2



 $OQ$ 

**Theorem 40:** For any planar graph G we have  $c(G) < 3$ .

Case 1: Number of neighbors!

c one neighbor in  $R_i$ : Move all cops to this neighbor  $c'$  and Consider  $R_{i+1} = R_i \setminus \{c'\}$ . Case 1 again.

c more than one neighbor in  $R_i$ : a and b be two neighbors,

 $P(a, b)$  a shortest path in  $R_i$  between a and b. One cop remains in c, another cop protects the path  $P(a, b)$  by Lemma 39. Thus  $P_1 = a, c, b$  and  $P_2 = P(a, b)$ . Case 2 with  $R_{i+1} \subset R_i$ .

### Number of cops required, positive result

**Theorem 40:** For any planar graph G we have  $c(G) \leq 3$ .

Case 2:



**Theorem 40:** For any planar graph G we have  $c(G) < 3$ .

Case 2:

- $\bullet$  There is a another shortest path  $P'({\sf v}_1,{\sf v}_2)$  in  $P_1\cup P_2\cup R_i$  but different from  $P_1$  and  $P_2$ . Leaves  $P_1 \cup P_2$  at  $x_1$ , hits  $P_1 \cup P_2$ again at  $x_2$ .
- <span id="page-7-0"></span>**4** There is no such path! There is a single vertex x of  $P_1 \cup P_2$  so that  $R$  is in the component behind  $x$ . Move all three cops to  $x$ . Case 1 again!

### Number of cops required, positive result

Shortest path  $P'({\sf v}_1,{\sf v}_2)$  in  $P_1\cup P_2\cup R_i$  but different from  $P_1$  and P<sub>2</sub>. Leaves  $P_1 \cup P_2$  at  $x_1$ , hits  $P_1 \cup P_2$  again at  $x_2$ .



Let  $c_3$  protect  $P_3 = v_1, \ldots, x_1, r_1, \ldots, r_k, x_2, \ldots, v_2$  while  $c_1$  and  $c_2$ protect  $P_1 \cup P_2$ .

<span id="page-8-0"></span>Case 2 aga[in](#page-7-0):  $c_3$  protects  $P_3$ ,  $c_1$  [o](#page-9-0)r  $c_2$  the re[ma](#page-7-0)inin[g](#page-8-0) o[ne](#page-0-0)[!](#page-27-0)

### Aspects of randomization

- Examples for the use of randomizations
- Context of decontaminations
- Randomization for a strategy
- Beat the greedy algorithm for trees
- Randomization as part of the variant  $\bullet$
- Probability distribution for the root
- <span id="page-9-0"></span>Expected number of vertices saved

Integer LP formlation for trees (Exercise):

Minimize  $\sum$ v∈V  $x_v$   $w_v$ so that  $x_r = 0 = 0$ 

$$
\sum_{\substack{v \le u \\ v \in L_i}} x_v \le 1 \qquad : \text{ for every leaf } u
$$
\n
$$
\sum_{v \in L_i} x_v \le 1 \qquad : \text{ for every level } L_i, i \ge 1
$$
\n
$$
x_v \in \{0, 1\} \qquad \forall \, v \in V
$$

 $\mathbb{B} \rightarrow \mathbb{R} \oplus \mathbb{R} \rightarrow \mathbb{R}$ 

 $OQ$ 

# Strategy: Beat the greedy approximation

- $\bullet$  opt<sub>ILP</sub> optimal solution, opt<sub>RLP</sub> fractional solution,  $opt_{ID}$   $<$  opt<sub>PLP</sub>
- $\bullet$  opt<sub>RLP</sub> in polynomial time!
- Subtree  $T_v$  with  $x_v = a \le 1$  is a-saved, a portion  $a \cdot w_v$  of the subtree is saved
- $v_1$  is ancestor of  $v_2$  and  $x_{v_1} = a_1$  and  $x_{v_2} = a_2$
- Vertices of  $\, T_{\nu_2}$  are  $( a_1 + a_2 )$ -saved. The remaining vertices of  $T_{v_1}$  are only  $a_1$ -saved.
- Randomized rounding scheme for every level
- Sum of the  $x_v = a$ -values for level *i*: Probability distribution for choosing v. Shuffle and set  $x<sub>v</sub>$  to 1.
- Sum up to less than 1: Probability of not choosing a vertex at level i.
- Only problem: *double-protections*

# Strategy: Beat the greedy approximation

- double-protections: Choose vertices on the same path to a leaf! We only use the predecessor! Skip the higher level!
- No such *double-protections*: The expected approximation value would be indeed 1.
- Intuitive idea: Tree  $\tau_{\mathrm{v}_i}$  at level  $i$  is  $\mathrm{\textit{fully}}$  saved by the fractional strategy!
- Worst-case: Fractional strategy has assigned a  $1/i$  fraction to all vertices on the path from  $r$  to  $v_i$ . This gives 1 for  $T_{v_i}$ .
- Probability of saving  $v_i$  is:  $1 (1 1/i)^i \geq 1 \frac{1}{e}$  $\frac{1}{e}$ .
- Formal general proof!

**Theorem 41:** Consider an algorithm that protects the vertices w.r.t. the probability distribution given by opt<sub>RLP</sub>. The expected approximation ratio of the above strategy for the number of vertices protected is  $\left(1-\frac{1}{e}\right)$  $\frac{1}{e}$ .

- $\bullet$  S<sub>F</sub> fractional solution for opt<sub>RLP</sub>
- Probabilistic rounding scheme:  $S_I$  outcome of this assignment
- Show: Expected protection of  $S_I$  is larger than  $\left(1-\frac{1}{e}\right)$  $\frac{1}{e}$ ) times the value of  $S_F$
- $x_v^F$  value of  $x_v$  for the fractional strategy
- $\mathsf{x}_\mathsf{v}^{\mathit{I}}$  value  $\{0,1\}$  of integer strategy
- $\mathcal{y}_{\mathcal{V}} = \sum_{\mathcal{U} \leq \mathcal{V}} \mathcal{x}_{\mathcal{U}} \in \{0, 1\}$  indicate whether  $\mathcal{V}$  is finally saved
- $y^{\mathcal{F}}_{\mathsf{v}} = \sum_{\mathsf{u} \leq \mathsf{v}} x^{\mathcal{F}}_{\mathsf{u}} \leq 1$  fraction of  $\mathsf{v}$  saved by fractional strategy

# Approximation by randomized strategy

**Theorem 41:** Consider an algorithm that protects the vertices w.r.t. the probability distribution given by opt<sub>RLP</sub>. The expected approximation ratio of the above strategy for the number of vertices protected is  $\left(1-\frac{1}{e}\right)$  $\frac{1}{e}$ .

For  $y_v = 1$  it suffices that one of the predecessor of v was chosen. Let  $r = v_0, v_1, v_2, \ldots, v_k = v$  be the path from r to v

$$
\Pr[y_{v} = 1] = 1 - \prod_{i=1}^{k} (1 - x_{v_i}^F).
$$

Explanation: The probability that  $\nu_2$  is safe is  $x_1 + (1 - x_1)x_2 = 1 - (1 - x_1)(1 - x_2)$ The probability that  $v_3$  is safe is  $1-(1-x_1)(1-x_2)+(1-x_1)(1-x_2)x_3 = 1-(1-x_1)(1-x_2)(1-x_3)$ and so on.

# Approximation by randomized strategy

Theorem 41: Consider an algorithm that protects the vertices w.r.t. the probability distribution given by opt $_{RIP}$ . The expected approximation ratio of the above strategy for the number of vertices protected is  $\left(1-\frac{1}{e}\right)$  $\frac{1}{e}$ .

$$
Pr[y_{v} = 1] = 1 - \prod_{i=1}^{k} (1 - x_{v_{i}}^{F})
$$
  
\n
$$
\geq 1 - \left(\frac{\sum_{i=1}^{k} (1 - x_{v_{i}}^{F})}{k}\right)^{k} = 1 - \left(\frac{k - \sum_{i=1}^{k} x_{v_{i}}^{F}}{k}\right)^{k}
$$
  
\n
$$
= 1 - \left(\frac{k - y_{v}^{F}}{k}\right)^{k}
$$
  
\n
$$
= 1 - \left(1 - \frac{y_{v}^{F}}{k}\right)^{k} \geq 1 - e^{-y_{v}^{F}} \geq \left(1 - \frac{1}{e}\right) y_{v}^{F}.
$$
  
\n
$$
\frac{x_{1} + x_{2} + \dots + x_{n}}{n} \geq \sqrt[n]{x_{1} \cdot x_{2} \cdots x_{n}}
$$
  
\n**Linear Langege**  
\nTheoretical Aspects of Intruder Search

Theorem 41: Consider an algorithm that protects the vertices w.r.t. the probability distribution given by opt<sub>RLP</sub>. The expected approximation ratio of the above strategy for the number of vertices protected is  $\left(1-\frac{1}{e}\right)$  $\frac{1}{e}$ .

$$
\mathsf{E}(|S_I| = \sum_{v \in V} \mathsf{Pr}[y_v = 1] \ge \left(1 - \frac{1}{e}\right) \sum_{v \in V} y_v^{\mathsf{F}} = \left(1 - \frac{1}{e}\right) |S_{\mathsf{F}}|.
$$

- $G = (V, E)$  fixed number k of agents
- *k*-surviving rate,  $s_k(G)$ , is the expectation of the *proportion* of vertices saved
- Any vertex is root vertex with the same probability
- Classes, C, of graphs G: For constant  $\epsilon$ ,  $s_k(G) \geq \epsilon$
- $\bullet$  Given G, k,  $v \in V$  let:  $\text{sn}_k(G, v)$ :number of vertices that can be protected by k agents, if the fire starts at v
- 1  $\frac{1}{|V|} \sum_{\mathsf{v}\in\mathsf{V}} \mathsf{sn}_k(\mathsf{G},\mathsf{v}) \geq \epsilon|V|$
- Class  $C$ : let the minimum number  $k$  that guarantees  $s_k(G) > \epsilon$  for any  $G \in \mathcal{C}$  be denoted as the firefighter-number, ffn $(C)$ , of C.

Firefighter-Number for a class C of graphs: **Instance:** A class C of graphs  $G = (V, E)$ . Question: Assume that the fire breaks out at any vertex of a graph  $G \in \mathcal{C}$  with the same probability. Compute ffn $(C)$ .

 $ffn(C)$  for trees? For stars?

Planar graph: ffn $(C)$  > 2, bipartite graph  $K_{2,n-2}$ .

**Main Theorem:** For planar graphs we have  $2 \leq \text{ffn}(C) \leq 4$ 

 $\Omega$ 

# Idea for the upper bound ffn $(C) \leq 4$

- Vertices subdivided into classes  $X$  and  $Y$
- $r \in X$  allows to save many (a linear number of) vertices
- $r \in Y$  allows to save only few (almost zero) vertices
- Finally,  $|Y| \le c|X|$  gives the bound
- Simpler result first!

**Theorem**: For planar graphs G with no 3- and 4-cycle, we have  $s_2(G) > 1/22$ .

- Euler formula,  $c + 1 = v e + f$ , for planar graphs, e edges,  $v$  vertices,  $f$  faces and  $c$  components
- Planar graph with no 3- and 4-cycle has average degree less than  $\frac{10}{3}$
- Assume  $\frac{10}{3}$ v  $\geq 2$ e! Which is  $v \geq \frac{3}{5}$  $rac{3}{5}$ e
- Also conclude  $5f < 2e$ .
- Insert, contradiction!
- Similar arguments: A graph with no 3-, 4 and 5-cylces has average degree less than 3!

**Theorem**: For planar graphs G with no 3- and 4-cycle, we have  $s_2(G) > 1/22$ .

Subdivide the vertices V of G into groups w.r.t. the degree and the neighborship

- Let  $X_2$  denote the vertices of degree  $\leq 2$ .
- Let  $Y_4$  denote the vertices of degree  $> 4$ .
- Let  $X_3$  denote the vertices of degree exactly 3 but with at least one neighbor of degree  $\leq$  3.
- $\bullet$  Let  $Y_3$  denote the vertices of degree exacly 3 but with all neighbors having degree  $> 3$  (degree 3 vertices not in  $X_3$ ).

Let  $x_2, x_3, y_3$  and  $y_4$  denote cardinality of the sets

**Theorem**: For planar graphs G with no 3- and 4-cycle, we have  $s_2(G) \geq 1/22$ .

• 
$$
|V| = n, x_2 + x_3 + y_3 + y_4 = n
$$

• 
$$
v \in X_2
$$
: save  $n-2$  vertices

• 
$$
v \in X_3
$$
: save  $n-2$  vertices

• For starting vertices in  $Y_3$  and  $Y_4$ , we assume that we can save nothing!

• Show: 
$$
s_2(G) \cdot n = \frac{1}{n} \sum_{v \in V} \text{sn}_k(G, v) \geq \epsilon \cdot n
$$

$$
\frac{1}{n^2}\sum_{v\in V}\operatorname{sn}_k(G,v)\geq \frac{1}{n^2}(x_2+x_3)(n-2)=\frac{n-2}{n}\cdot \frac{x_2+x_3}{x_2+x_3+y_3+y_4}
$$

 $OQ$ 

イヨメ イヨメ

**Theorem**: For planar graphs G with no 3- and 4-cycle, we have  $s_2(G) > 1/22$ .

- Fixed relation between  $x_2 + x_3$  and  $y_3 + y_4$
- First: Correspondance between  $Y_3$  and  $Y_4$
- $G_Y = (V_Y, E_Y)$ : Edges of G with precisely one vertex in  $Y_3$ and one vertex in  $Y_4$
- $3y_3$  edges, at most  $y_3 + y_4$  vertices, bipartite
- Cylce: Forth and back from  $Y_3$  to  $Y_4$
- No cycle of size 5!
- Average degree of vertices of  $G_Y$  is at most 3
- Counting  $3(y_3 + y_4)$ , counts at least any edge twice, so  $3(y_3 + y_4) > 6y_3$
- $y_3 < y_4$

∢ 重 ≯ → 重 ≯ →

**Theorem**: For planar graphs G with no 3- and 4-cycle, we have  $s_2(G) \geq 1/22$ .

- Fixed relation between  $x_2 + x_3$  and  $y_3 + y_4$ ,  $y_3 \le y_4$
- Counting  $\frac{10}{3}(x_2+x_3+y_3+y_4)$  edges we have at least counted  $3x_3 + 3y_3 + 4y_4$  edges
- $9x_3 + 9y_3 + 12y_4 \le 10(x_2 + x_3 + y_3 + y_4)$
- $\bullet$  2 $v_4 v_3 < 10x_2 + x_3$
- By  $y_3 < y_4$  we have  $y_4 < 10x_2 + x_3$
- Finally:  $y_3 + y_4 < 20x_2 + 2x_3 < 20(x_2 + x_3)$

**Theorem**: For planar graphs G with no 3- and 4-cycle, we have  $s_2(G) \geq 1/22$ .

Finally: 
$$
y_3 + y_4 \le 20x_2 + 2x_3 \le 20(x_2 + x_3)
$$
  
\n
$$
\frac{n-2}{n} \cdot \frac{x_2 + x_3}{x_2 + x_3 + y_3 + y_4} \ge \frac{n-2}{n} \cdot \frac{x_2 + x_3}{21(x_2 + x_3)} = \frac{n-2}{21n}.
$$
 (1)

 $\bullet$   $n = 2$ : one vertex distinct from the root

$$
\bullet \ \ 3 \leq n \leq 44 \colon \text{at least } \tfrac{2}{44}
$$

• 
$$
n \ge 44
$$
:  $s_2(G) \ge \frac{42}{21.44} = \frac{1}{22}$ .

Expected value of saved vertices is always  $\frac{1}{22}n$ .

 $\left\{ \begin{array}{ccc} \pm & \rightarrow & \leftarrow & \pm & \rightarrow \end{array} \right.$ 

Theorem 44: Using four firefighters in the first step and then always three firefighters in each step, for every planar graph G there is a strategy such that  $s_4(G) \geq \frac{1}{2712}$  holds.

- Maximal, planar without multi-edges.
- Triangulation, any face has exactly 3 edges
- $\bullet$  Subdivide V of G into sets X and Y
- $\bullet$  X will be the set of vertices strategy saves at least  $n 6$ vertices
- Y we do not expect to save any vertex, for  $|V| = n$
- Final conclusion is that for some  $\alpha = \frac{1}{87}$ 872

$$
|Y| \le \left(93 + \frac{3}{\alpha}\right)|X| = 2709|X|.
$$
 (2)

# Warm up for planar graphs

**Theorem 44:** Using four firefighters in the first step and then always three firefighters in each step, for every planar graph G there is a strategy such that  $s_4(G) \geq \frac{1}{2712}$  holds.

$$
|Y| \le \left(93 + \frac{3}{\alpha}\right)|X| = 2709|X|.
$$
 (3)

Thus from  $|X| + |Y| = n$  we conclude

$$
s_4(G) \ge \frac{n-6}{n} \cdot \frac{|X|}{|X|+|Y|} > \frac{n-2}{n} \cdot \frac{|X|}{2710|X|} = \frac{n-6}{2710n}.
$$

For  $n > 10846$  we have

<span id="page-27-0"></span>
$$
s_4(G) \geq \frac{1}{2710} - \frac{6}{4 \cdot 2710^2} \geq \frac{2710 - 3/2}{2710^2} \geq \frac{1}{2712}
$$

For  $2 \leq n < 10846$  we save at least min(4,  $n-1$ ) in the first step, which gives also  $s_4(G) \geq \frac{1}{2712}$ .